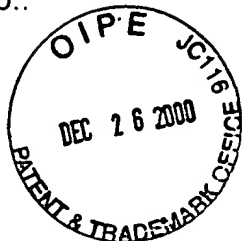


#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Le Pennec et al.
Serial No.: 09/665,627
Filed: September 19, 2000
For: METHOD AND SYSTEM FOR GENERATING AND USING
A VIRUS FREE FILE CERTIFICATE INTEGRATED WITHIN
A FILE
Art Unit: 2131
Examiner: Not yet assigned
Attorney Docket: FR919990116US1



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Technology Center 2100

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:


In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed September 19, 2000. This Information Disclosure Statement is being filed:

- XXX Within three (3) months of the filing date of the national application;
____ Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
____ Before the mailing date of a first Office Action on the merits;
____ After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

- _____ After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
- _____ After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1); and
- _____ After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the issuance of a final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1).

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,



Harry F. Smith
Reg. No. 32,493
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
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December 19, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT TRANSMITTAL FORM

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Applicant: Le Pennec et al.

Serial No.: 09/665,627

Filed: September 19, 2000

For: METHOD AND SYSTEM FOR GENERATING AND USING A VIRUS
FREE FILE CERTIFICATE INTEGRATED WITHIN A FILE

Art Unit: 2131

Examiner: Not yet assigned

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ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

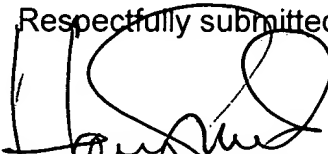
Sir:

Transmitted herewith is:

1. Information Disclosure Statement;
2. PTO Form 1449 with copies of patents;
3. Transmittal letter in duplicate; and
4. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,


Harry F. Smith, Esq.
Reg. No. 32,493


Date: December 19, 2000

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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON DECEMBER 19, 2000.

Victoria E. Roeser
NAME


SIGNATURE

12/19/00
DATE